

REVIEW ARTICLE

# Attosecond Single-Cycle Undulator Light: a Review

Alan Mak<sup>1</sup>, Georgii Shamuilov<sup>1,\*</sup>, Peter Salén<sup>1,2</sup>,  
David Dunning<sup>3,4</sup>, János Hebling<sup>5</sup>, Yuichiro Kida<sup>6</sup>,  
Ryota Kinjo<sup>6</sup>, Brian W J McNeil<sup>3,7</sup>, Takashi Tanaka<sup>6</sup>,  
Neil Thompson<sup>3,4</sup>, Zoltán Tibai<sup>5</sup>, György Tóth<sup>5</sup> and  
Vitaliy Goryashko<sup>1,\*</sup>

<sup>1</sup>FREIA Laboratory, Uppsala University, Uppsala, Sweden

<sup>2</sup>Stockholm University, Stockholm, Sweden

<sup>3</sup>Cockcroft Institute, Warrington, United Kingdom

<sup>4</sup>STFC Daresbury Laboratory, Warrington, United Kingdom

<sup>5</sup>University of Pécs, Pécs, Hungary

<sup>6</sup>RIKEN SPring-8 Centre, Hyogo, Japan

<sup>7</sup>SUPA University of Strathclyde, Glasgow, United Kingdom

\*corresponding authors

E-mail: georgii.shamuilov@physics.uu.se

E-mail: vitaliy.goryashko@physics.uu.se

**Abstract.** Research at modern light sources continues to improve our knowledge of the natural world, from the subtle workings of life to matter under extreme conditions. Free-electron lasers, for instance, have enabled the characterization of biomolecular structures with sub-ångström spatial resolution, and paved the way to controlling the molecular functions. On the other hand, attosecond temporal resolution is necessary to broaden our scope of the ultrafast world. Here we discuss attosecond pulse generation beyond present capabilities. Furthermore, we review three recently proposed methods of generating attosecond x-ray pulses. These novel methods exploit the coherent radiation of microbunched electrons in undulators and the tailoring of the emitted wavefronts. The computed pulse energy outperforms pre-existing technologies by three orders of magnitude. Specifically, our simulations of the proposed Soft X-Ray Laser (SXL) at MAX IV (Lund, Sweden) show that a pulse duration of 50-100 attoseconds and a pulse energy up to 5 microjoules is feasible with the novel methods. In addition, the methods feature pulse shape control, enable the incorporation of orbital angular momentum, and can be used in combination with modern compact free-electron laser setups.

**Keywords:** *Free-electron lasers; Mode locking; Superradiance; Undulator radiation; UV and x-ray lasers; Ultrafast optics; Photoionization; Charge migration in molecules; Attosecond pump-probe capabilities.*

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## 1. Introduction

Ultrashort light pulses play an indispensable role in the progress of fundamental science, as they provide the necessary temporal resolution for probing and controlling ultrafast processes in matter. Short pulse durations offer a high temporal resolution, and the opportunity to enter a new scientific realm (see Sec. 2). The realms of *femtochemistry* [1, 2] and *femtobiology* [3] emerged when the *femtosecond* ( $1 \text{ fs} = 10^{-15} \text{ s}$ ) time scale was enabled by mode locking in cavity lasers [4, 5]. Likewise, the realm of *attosecond science* [6, 7] emerged when the *attosecond* ( $1 \text{ as} = 10^{-18} \text{ s}$ ) time scale was reached by chirped-pulse amplification [8] and high-harmonic generation (HHG) [9]. Attosecond pulses have opened the possibilities to image [10] and control [11] the behaviour of electron wave packets within atoms. Further reduction to the *zeptosecond* ( $1 \text{ zs} = 10^{-21} \text{ s}$ ) pulse duration would enable the real-time observation of nucleonic processes.

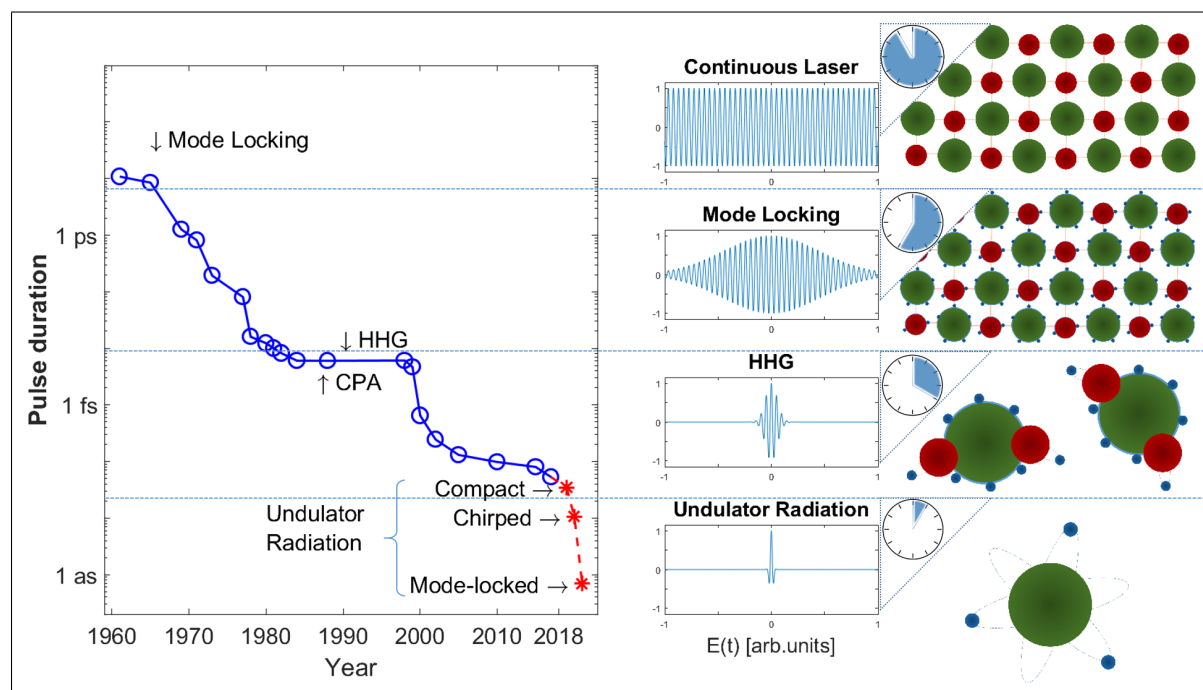
HHG is an established method of generating attosecond pulses, and a record pulse duration of 43 attoseconds was attained in October 2017 [12]. Retaining a sufficient photon flux becomes challenging when the pulse duration is reduced to the attosecond scale [13]. Moreover, the pulse-shortening capacity of HHG is trending towards exhaustion (see Sec. 2). These limitations call for a technological breakthrough.

X-ray free-electron lasers (FELs) [14] are a promising new direction, as they offer a billion-fold improvement [15] in brilliance over previous x-ray sources. As of late 2018, the x-ray FEL facilities in operation are: FLASH [16] and European XFEL [17] in Germany; FERMI [18] in Italy; Swiss FEL [19] in Switzerland; LCLS [20] in the United States; SACLA [21] in Japan; and PAL XFEL [22] in South Korea. The MAX IV Laboratory in Sweden has also embarked on the design study of its Soft X-Ray Laser (SXL) facility [23].

X-ray FELs produce intense, coherent light using two main components: (i) a beam of relativistic electrons from an accelerator and (ii) a magnetic device known as the *undulator* (see Sec. 3). Existing x-ray FELs can readily deliver a pulse duration of 10-100 femtoseconds, and the production of sub-femtosecond pulses has been an active research area since the 2000s [24, 25, 26, 27, 28, 29, 30, 31]. A major obstacle to further reducing the pulse duration is that the number of optical cycles increases with every undulator period (elaborated in Sec. 5), so that an FEL pulse typically contains tens or hundreds of cycles.

In recent years, several novel concepts have been proposed to shift this paradigm, providing the theoretical basis for few-cycle [32], single-cycle [33, 34] and even half-cycle [35, 36] FEL pulses. In this article, we review three selected concepts, which feature waveform control in compact undulators (see Sec. 5.1), chirped microbunching (see Sec. 5.2) and FEL mode locking (see Sec. 5.3). The realization of these concepts is the objective of the LUSIA consortium (see Sec. 6).

In some of these concepts, it is possible to control the optical waveform in the pulse, and obtain a stable carrier-envelope phase (CEP). CEP stability is an important



**Figure 1.** The plot on the left shows the minimum pulse duration attained over time, using data from Refs. [6, 13, 41]. The middle column depicts typical pulse shapes for each method of pulse generation. The right-hand column gives examples of systems whose dynamics can be analysed with these durations of pulses [7], such as (from top to bottom) phonon oscillations in solids, electron dynamics in solids, electron dynamics in molecules and electronic correlations in isolated atoms. Note that for HHG and undulator radiation, the central wavelength corresponds to the XUV and x-ray ranges.

property in attosecond science, as strong-field phenomena can be sensitive to the CEP [37, 38] and random CEP fluctuations from shot to shot can hinder the reproducible guiding of atomic processes [39].

The article concludes with an outlook on the development of this vibrant field of research (see Sec. 6). An example of future possibilities is the production of ultrashort light pulses carrying orbital angular momentum (OAM) [40].

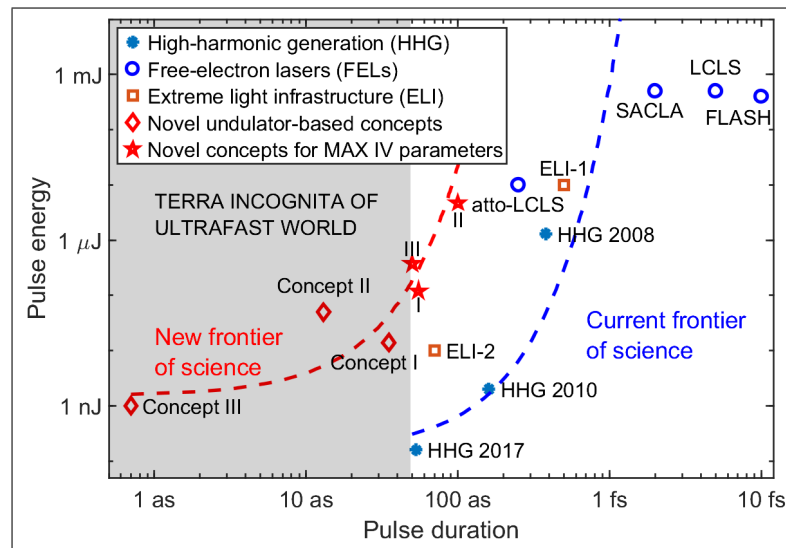
## 2. Attosecond science and technology

### 2.1. Footsteps towards attosecond technology

The generation of ultrashort pulses has seen significant development during the last five decades, starting with the development of cavity mode locking in 1964 [4, 5]. Pulse durations have since been reduced by five orders of magnitude, as shown in Fig. 1.

With each instance of pulse duration reduction, new scientific realms emerged. For instance, *femtochemistry* [1, 2] and *femtobiology* [3] emerged when *femtosecond* pulses were made possible by mode locking in cavity lasers. With each advance, the demand for even shorter pulses increased.

The potential of pulse shortening by mode locking was fully exploited by the mid-



**Figure 2.** The state-of-the-art of generating short pulses of light. The plot shows the pulse duration and pulse energy achievable by high-harmonic generation (empirical data [43, 44, 41]), existing free-electron lasers (empirical data [20, 21, 16, 45]), the Extreme Light Infrastructure (project targets [46]) and novel undulator-based concepts (simulations results [33, 34, 47]). The HHG and novel undulator concepts deliver few-cycle light pulses whereas the FEL sources shown in the figure deliver light pulses of more than a few cycles. An attosecond pulse of about  $10 \mu\text{J}$  energy (e.g. “II” and “atto-LCLS”) is comparable to the blackbody radiation from a source at a temperature of 200 million kelvin, higher than the temperature of nuclear fusion. Furthermore, a focused intensity of  $10^{22} \text{ W/cm}^2$  corresponds to the regime of ultra-relativistic optics [48].

1980s. However, the quest for shorter pulses stimulated the development of new methods such as high harmonic generation (HHG) in gas targets [42]. The invention of HHG was a prerequisite for breaking into the attosecond regime.

At present, HHG can deliver isolated pulses of less than 100 attoseconds, but as pointed out by Sansone et al. [13]: “the widespread adoption of attosecond sources has so far been limited ... due to the low photon flux characteristics of attosecond sources, particularly for producing isolated attosecond pulses.” Moreover, the potential of using HHG for further pulse shortening is expected to be exhausted by the late 2010s (see Fig. 1). Clearly, other methods of generating isolated short pulses must be investigated, in order to resolve the electron dynamics occurring at the time scale of only a few attoseconds.

The current state of the art of generating attosecond pulses is shown in Fig. 2, in terms of the pulse energy and duration. A few selected HHG sources are shown as blue asterisks. The capabilities of free-electron lasers in operation are shown as blue circles. The target parameters of the Extreme Light Infrastructure (ELI) [46] are shown as orange squares. The simulation results of the novel undulator concepts (elaborated in Sec. 5) are shown as red diamonds.

In August 2017, HHG sources have reached the carbon K-edge with a pulse duration of 53 attoseconds and a pulse energy of around 100 pJ [41]. However, Fig. 1 shows a clear

lack of significant progress in pulse shortening by HHG during the 2010s. Furthermore, from Fig. 2, the pulse energy dramatically decreases with the pulse duration: a reduction in pulse duration from 380 attosecond to 53 attosecond is accompanied by a four-order-of-magnitude decrease in pulse energy from 1.3  $\mu\text{J}$  to 160 pJ. For comparison, the target parameters of the ELI source (phase 2) are 70 attoseconds at 10 nJ.

## 2.2. Attosecond science today

Attosecond light pulses enable the study of electronic motion in atoms, molecules and condensed matter on its natural time scale. HHG sources have permitted the initial exploration of such processes, addressing the delays in photo emission [49, 50], electron correlations [51, 11], valence electron motion [52] etc. and have enabled the imaging [10] and controlling [11] of electron wavepackets. HHG sources have also been applied to investigate charge migration [53] in molecules, a fast flow of electrons driven by the coherent superposition of electronic states, at a time scale down to  $\sim 100$  attoseconds [54]. Measurements of photoemission delays in solid matter with attosecond resolution have allowed a further understanding of electron transport through the surface [55] and the influence of different initial states on the emission time [56]. Moreover, attosecond methods have opened up the possibility to study the control of electronic currents with optical light fields [57, 58, 59, 60] – so-called *lightwave electronics*, which may enable a new electronics industry.

The development of laser technology and nanoengineering has laid the grounds for the recently emerging research area of attosecond physics at the nanoscale [61]. In traditional attosecond studies on atoms, molecules and extended solid materials, one typically assumes a spatially homogeneous laser field that interacts with the electrons. This is because the scale of the electron motion is small compared to the spot size of the intense ultrashort light pulses initiating the dynamics. However, the optical sub-wavelength confinement of the enhanced near-fields created by light-nanostructure interaction [62] enables a new regime of strong-field physics where the inhomogeneity of the electric field is a factor. The design of metallic or dielectric nanostructures, such as spheres, nanotips or antennas, as well as the choice of different materials, permits control of these inhomogeneous fields formed at the vicinity of the structure, which are generated by laser-induced plasmonic oscillations in the nanostructure. The produced fields can be measured by ejecting electrons with an attosecond pulse and streaking them with the plasmonic field [63]. Simulations have demonstrated that the inhomogeneity of the field implies important modifications for strong-field phenomena such as HHG [64, 65, 66, 67] and above-threshold ionization [68, 69]. Moreover, intense experimental effort has been dedicated to the investigation of HHG in noble gases using the strong plasmonic fields enabled by nanostructures [70, 71]. Recently, HHG using the solid tip nanostructure itself as an emitter was observed [72], and this opens up new opportunities for realizing coherent extreme ultraviolet sources for attosecond near-field applications.

### 2.3. Attosecond science beyond present capabilities

A real breakthrough in attosecond science demands the realization of *attosecond-pump-attosecond-probe* (APAP) experiments, which require pulse energies above 10 nJ [73]. Furthermore, there is a demand for even shorter pulses than presently available, of only a few attoseconds duration.

APAP experiments are necessary for obtaining the corresponding impact that femtosecond pulses has had on, e.g., femtochemistry. Intense femtosecond pulses are required to resolve atomic motions via pump-probe methods. Likewise, strong attosecond pulses are required to fully explore the new field of attochemistry, where electron motions and their influence on chemical reactions are investigated. For example, APAP methods could be applied to studies of charge migration, which is a fundamental process in biology [53]. As shown theoretically [74] this phenomenon is triggered by sudden ionization of biologically relevant molecules with XUV pulses. This leads to a charge flow from one part of the molecule to another, which is driven by electron correlation. Although such charge migration has been observed in amino acids, it is experimentally challenging and could only be probed indirectly by measuring the ions produced as a result of the charge flow [75] or by methods requiring aligned and oriented molecules, i.e. linear and polar molecules [54]. APAP techniques could enable charge migration to be directly followed on a proper time scale. For example, it could allow the charge flow induced at one molecular site by an attosecond XUV pulse to be monitored at another part of the molecule using an attosecond soft x-ray pulse combined with a spatially sensitive spectroscopic technique [76]. Few-attosecond pulses may furthermore allow the initial dynamics of charge migration, developing on the first tens of attoseconds [77, 78], to be investigated, and enable more exact comparison to simulations carried out with short time steps [77, 78].

Multidimensional attosecond x-ray spectroscopy has been proposed as an efficient nonlinear tool [79, 80] which can be fully exploited by development of more intense and shorter attosecond pulses. In this technique the molecular response to a sequence of variably delayed intense ultrashort x-ray pulses is measured. The recorded data can be visualized by multidimensional spectra that provide extra information compared with traditional nonlinear 1-dimensional methods, such as pump-probe spectroscopy. It allows the investigation of core-electronic couplings, as well as correlations and quantum coherences of valence electrons and holes in detail and could thus be complementary to APAP measurements of electron flow.

Electron correlations play an integral part in many processes initiated by XUV or x-ray pulses [81] and can be explored through the attosecond dynamics of atomic photoionization processes. APAP experiments have been suggested as an efficient tool to study the ultrafast auto-ionization dynamics of Fano resonances, a fundamental process where a bound and a continuum state are simultaneously excited and their mutual interaction leads to a decay of the bound into the continuum state [82]. By probing the ultrafast evolution of the Fano profiles via time-dependent photoelectron spectra,

the involved electron correlations can be investigated. Such studies will be essential for understanding and controlling multi-electron dynamics.

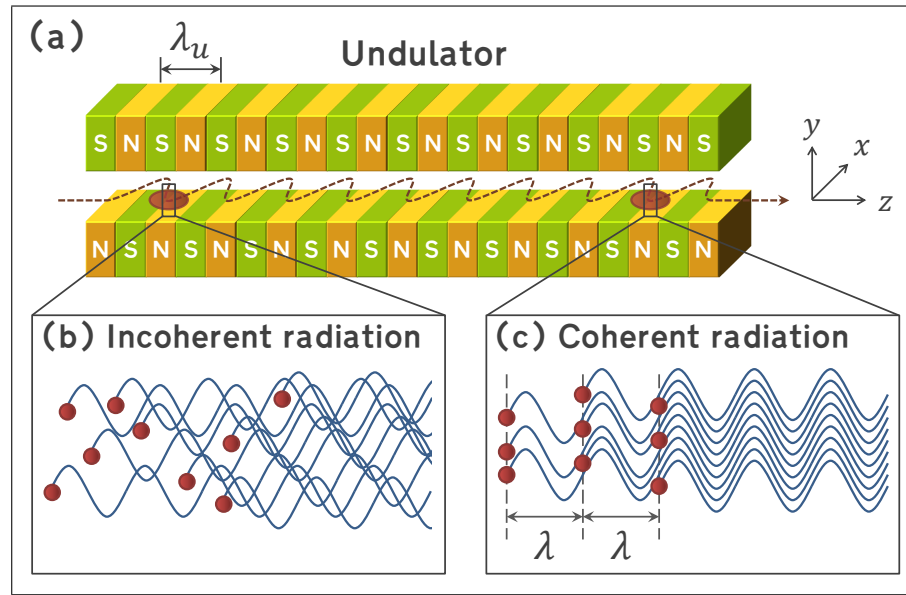
Further advances towards the control of electronic processes in atoms and molecules would benefit from detailed studies of the photoionization process using light pulses as short as a few attoseconds. For example, the interaction between the photoelectron created by extremely short pulses and the parent ion results in a mixing of different ionization channels, so-called interchannel coupling, that affects the coherence of the generated hole wavepacket in the parent ion. It has been suggested that this interchannel coupling may be relevant for understanding the hole dynamics of the HHG process [83] as well as charge transfer processes. In a theoretical study [84] the coherence properties of the hole wavepacket formed by photoionization of xenon using XUV pulses of 5-60 attoseconds has been investigated. It demonstrates a significant influence from the interchannel coupling on the formed wavepacket and predicts the dependency of the coherence on the ionizing pulse properties. Further experimental investigation of these effects and ultimately control of coherence requires widely tunable few-attosecond sources.

In the solid state, few-attosecond light pulses are desired for studies of graphene. Using 10-attosecond laser pulses the response of graphene to excitation can be investigated in real time [85]. Such studies will shed some light on its dynamical conducting properties, and could help increase the conversion efficiencies of solar cells. Moreover, the availability of intense attosecond soft x-ray pulses would open up opportunities for the APAP studies of, e.g., condensed-phase hole migration [86] and the sub-femtosecond dynamics of charge transfer processes on surfaces [87, 88].

Looking further forward to the shortest of FEL wavelengths presently thought feasible ( $\sim 0.1$  Å) imaging and control of electronic-nuclear interactions such as nuclear excitation by electron transition or capture may become possible [89], which is relevant for nuclear batteries.

Temporal characterization of pulses shorter than presently available is an envisioned challenge for metrology. Today, the main technique in use is attosecond streaking [90]. The short pulse hits the gas target and causes photoemission of electrons. Thus, the light waveform is converted into a replicating electron wavepacket by single-photon absorption. These electrons are exposed to an additional streaking electric field (typically, in a linear region of an optical pulse). The photoelectrons' interaction with this field affects their spectrum, namely, sidebands appear and peaks are shifted. Comparing it to non-streaked spectra allows one to reconstruct the duration and chirp of the ultrashort pulse. This requires phase retrieval methods, such as FROG-CRAB (frequency-resolved optical gating for complete reconstruction of attosecond bursts) [91]. The SPIDER method (spectral phase interferometry for direct electric-field reconstruction) can be used as well [92, 93]. Further details can be found, for instance, in Ref. [94].

However, there are significant limitations. As the pulse duration decreases to the few-attosecond or sub-attosecond regime, the streaking efficiency decreases. If one uses



**Figure 3.** Working principle of a free-electron laser. (a) The electron bunch oscillates transversely and radiate, as it propagates down the undulator. (b) In the initial section of the undulator, the electrons are randomly phased within the bunch, and the radiation is largely incoherent. (c) In the subsequent section of the undulator, the electrons arrange themselves into sub-wavelength thin slices and this leads to coherent radiation. Figure adapted from Ref. [97].

a higher intensity of the streaking pulse, critical distortions in the spectrum will appear due to multi-photon absorption. FROG-CRAB starts with an assumption that the bandwidth of the short pulse is much smaller than the central energy of photoelectrons, a condition that cannot be met for sub-attosecond pulses. Chini *et al.* proposed a different algorithm for the same experimental setup – phase retrieval by omega oscillation filtering (PROOF) [95]. It imposes no bandwidth limitations and can be used for ultrabroadband pulses down to the zeptosecond regime. Another promising approach suggested by Ipp *et al.* is to use an intense counter-propagating pulse [96]. Its interaction with the short pulse would create electron-positron pairs in vacuum, which are exposed to the streaking pulse. The resulting time resolution in this case also belongs to the zeptosecond regime.

### 3. Free-electron laser principle

The free-electron laser (FEL) offers the prospects of producing intense attosecond x-ray pulses, thanks to its superb brilliance amongst laboratory x-ray sources [15] and the recently proposed concepts for pulse shortening (see Sec. 5).

A major difference between FELs and conventional lasers is the gain medium. For conventional lasers, the gain medium is a gas, liquid, crystal or semiconductor, wherein electrons are bound to atoms or molecules. For FELs, the gain medium is a beam of free electrons travelling through an undulator [see Fig. 3(a)]. These electrons are *free* in the sense that they are not bound to atoms or molecules.



The undulator contains an array of dipole magnets with alternating polarity along its length. This results in a magnetic field that is temporally static and spatially periodic. Using the coordinate system in Fig. 3(a), the magnetic field is parallel to the  $y$  axis, and is periodic along the  $z$  axis. The *undulator period*  $\lambda_u$  is typically in the order of a few centimetres. The dimensionless *undulator parameter*  $\mathcal{K}$  is typically in the order of unity and is defined as

$$\mathcal{K} = \frac{e\lambda_u B_0}{2\pi m_e c}, \quad (1)$$

where  $e$  is the absolute value of the electron charge,  $m_e$  is the electron mass,  $c$  is the speed of light, and  $B_0$  is the amplitude of the periodic magnetic field.

The electron beam contains bunches of relativistic electrons delivered by a particle accelerator. The duration of each bunch is typically on the scale of 10–100 femtoseconds. As an electron travels along the  $z$  axis, it is deflected repeatedly by the periodic magnetic field, and hence oscillates in the  $x$  direction. These oscillations cause the electron to radiate at the *resonant wavelength*  $\lambda$ . On the  $z$  axis in the lab frame, this wavelength is given by

$$\lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{\mathcal{K}^2}{2} \right), \quad (2)$$

where  $\gamma$  is the Lorentz factor, i.e. the electron energy normalized to the rest energy  $m_e c^2$ .

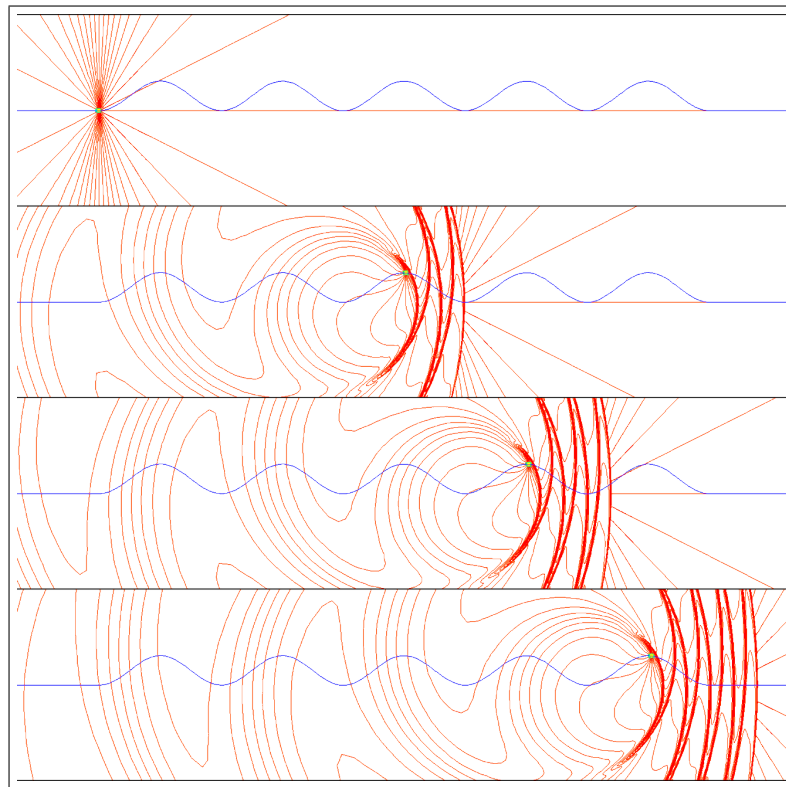
As a side note, Eq. (2) accounts for two relativistic phenomena: (i) Lorentz contraction of the undulator period when observed in the electron rest frame; (ii) Doppler shift of the wavelength radiated by an electron when observed in the lab frame. The factor  $(1 + \mathcal{K}^2/2)$  arises from the average velocity of the electron rest frame with respect to the lab frame [15].

Initially, the electrons are randomly distributed within the bunch, and the optical waves emitted by individual electrons have random relative phases. This process is known as *spontaneous emission*, and is depicted by Fig. 3(b). The resultant radiation is largely *incoherent*, as in a synchrotron light source.

However, the undulator of an FEL is typically much longer than that in a synchrotron. As the electrons continue along the  $z$  axis, they can interact collectively with the co-propagating radiation, such that the radiation is significantly amplified.

During the interaction, the electrons in the bunch gradually organize themselves into a series of thin slices along the  $z$  axis, as depicted by Fig. 3(c). These thin slices, called *microbunches* (or *nanobunches*), are equally spaced at the resonant wavelength  $\lambda$ . The thickness of each slice is small compared to  $\lambda$ .

With the formation of microbunches, the optical waves emitted by individual electrons become correlated in phase, and interfere constructively. The resultant radiation is largely *coherent*, as in a conventional laser. The radiation power grows exponentially during the interaction, and saturates when the microbunches are fully developed. The number of radiated wavefronts increases with the number of undulator



**Figure 4.** Evolution of the optical field emitted by a single electron propagating along a planar undulator. Each snapshot shows the scenario in the  $x$ - $z$  plane, with the blue curve being the electron trajectory, and the red curves being the electric field lines. The snapshots are taken from the simulation tool developed by T. Shintake [98].

periods, as the wavefronts slip ahead of the electrons (see Fig. 4). Microbunching is an essential part of the FEL principle, and is crucial for the concepts discussed in Sec. 5.

When the spontaneous emission in the initial section of the undulator is amplified in the subsequent section, the mechanism is known as *self-amplified spontaneous emission* (SASE) [99]. Alternatively, when an external laser is used in place of the spontaneous emission as the seed radiation to be amplified, the FEL is said to be *seeded*.

The undulator shown in Fig. 3(a) is a *planar* undulator, as the trajectory of the electron beam is confined within the  $x$ - $z$  plane. In a *helical* undulator, however, the trajectory is a helix. While the radiation produced in a planar undulator is *linearly* polarized, that produced in a helical undulator is *elliptically* polarized. Helical undulators also have the potential to produce light which carries orbital angular momentum [40].

#### 4. Emitted field from undulator

Consider a short bunch (or a microbunch) of electrons passing through an undulator. To compute the electric field emitted by the bunch, we first invoke Feynman's

formula [100] for the field radiated by a single moving charge  $q$ :

$$\vec{E} = \frac{q}{c^2} \frac{\partial^2 \vec{e}(t')}{\partial t^2}. \quad (3)$$

Note that CGS units are used in this section. Here  $\vec{e}$  is the unit vector pointing from the charge to the observation point, and is evaluated at the retarded time  $t'$ . The retarded time is given by  $t' = t - r(t')/c$ , where  $r(t')$  is the distance between the position of the charge at time  $t'$  and the observation point. This means the field emitted by the charge at time  $t'$  is detected at the observation point at time  $t$ . For simplicity, we restrict the analysis to the *on-axis* field, and refer to Ref. [101] for a more general case.

In an ideal planar undulator, as depicted by Fig. 3(a), the magnetic field  $\vec{B}(z)$  is directed solely in the  $y$  dimension, and the electron motion is dominated by the oscillations in the  $x$  dimension. As a result, the radiated electric field  $\vec{E}(t)$  is dominated by its  $x$  component, and we may assume  $E = E_x$ .

The resultant field emitted by the bunch is the superposition of the fields emitted by individual electrons [given by Eq. (3)]. For a Gaussian distribution of electrons in the plane orthogonal to the direction of propagation, the *total* emitted field becomes

$$E = \frac{Q_b}{c^2 S_b} \int_0^{2\pi} \int_0^\infty \rho \exp\left(-\frac{\rho^2}{2\sigma_b^2}\right) \frac{\partial^2 e_x(t')}{\partial t^2} d\rho d\phi, \quad (4)$$

where  $\rho$  and  $\phi$  are the polar coordinates,  $Q_b$  is the bunch charge,  $\sigma_b$  is the rms transverse radius of the bunch, and  $S_b = 2\pi\sigma_b^2$  is the cross-sectional area of the bunch.

In the vicinity of the bunch such that the interaction length and distance from the bunch to the observation point are much smaller than the Rayleigh length, the integrals in Eq. (4) are computed in Feynman [100]. This results in

$$E_{\text{near}} = \frac{2\pi Q_b}{c S_b} v_x [t - \Delta z(t')/c]. \quad (5)$$

Here,  $v_x$  is the  $x$  component of the electron velocity and the retarded argument becomes  $[t - \Delta z(t')/c]$  with  $\Delta z(t')$  being the distance between the bunch and observation point. We will refer to this field as the *near field*. It is convenient to use  $z$  as an independent variable and rewrite the velocity term as

$$v_x [t - \Delta z(t')/c] = c \frac{\partial t'}{\partial t} \frac{dx}{dz} \Big|_{\text{retarded}} = \left( \frac{c}{v_z} - 1 \right)^{-1} \frac{dx}{dz} \Big|_{\text{retarded}}. \quad (6)$$

To proceed with computing the emitted field, we first analyse the bunch trajectory in the undulator. Let  $\vec{A}(z) = \vec{A}_x(z)$  be the vector potential normalized to its maximum value, so that the undulator field  $\vec{B}(z) = \vec{B}_y(z)$  equals the curl of the vector potential. Invoking the Lorentz force law and Newton's second law of motion, the transverse velocity of the bunch reads  $v_x/c = (\mathcal{K}/\gamma)A_x(z)$ , and the longitudinal velocity reads

$$\frac{v_z}{c} \approx 1 - \frac{1}{2\gamma^2} - \frac{1}{2} \frac{v_x^2}{c^2} = 1 - \frac{1 + \mathcal{K}^2 A_x^2(z)}{2\gamma^2}.$$

It follows from Eqs. (5) and (6) that the on-axis near field reads

$$E_{\text{near}}(s) = \frac{4\pi Q_b}{S_b} \frac{\gamma \mathcal{K} A_x(s)}{1 + \mathcal{K}^2 A_x^2(s)}, \quad s \approx \frac{2\gamma^2 c}{1 + \mathcal{K}^2 A_x^2} \left( t - \frac{z}{c} \right). \quad (7)$$

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Here,  $s$  is the distance along the light pulse, which plays the role of the time coordinate. Also,  $\overline{A_x^2}$  is the average of  $A_x^2$  over the length  $L_u$  of the undulator, i.e.

$$\overline{A_x^2} = \frac{1}{L_u} \int_{-L_u/2}^{L_u/2} A_x^2(z) dz. \quad (8)$$

For a multi-period undulator,  $L_u$  is simply the undulator period  $\lambda_u$  multiplied by the number of undulator periods. For a single-period or non-periodic undulator,  $L_u$  can be defined as the width of the autocorrelation function of the undulator field over the range of  $z$  wherein the undulator field is non-zero.

The far field is obtained as follows: (i) Fourier transforming Eq. (4) to the frequency domain; (ii) integrating over the transverse coordinates in the Fresnel approximation; and (iii) transforming back to the time domain. The result is

$$E_{\text{far}} = \frac{Q_b}{c^2 z} \frac{\partial^2 x[t - \Delta z(t')/c]}{\partial t^2}.$$

Upon the change of variables using Eq. (6), the on-axis far field reduces to

$$E_{\text{far}}(z, s) = \frac{2Q_b}{S_b} \frac{z_R}{z} \frac{\gamma \mathcal{K} \lambda_u A'_x(s)}{[1 + \mathcal{K}^2 A_x^2(s)]^2}. \quad (9)$$

Here  $A'_x = dA_x/ds$  is the magnetic field, and  $z_R = S_b/\lambda$  is the Rayleigh length estimated at the wavelength  $\lambda = \lambda_u/2\gamma^2$ .

Once the light pulse is emitted, its energy is conserved as it propagates. In other words, the pulse energy is independent of the  $z$  position, so long as the position is outside the interaction region. We can therefore choose to compute the pulse energy from the near field (7), and obtain the result

$$\mathcal{E}_r \approx \frac{\pi Q_b^2 \lambda_u}{S_b} \frac{\mathcal{K}^2}{1 + \mathcal{K}^2 \overline{A_x^2}} \int A_x^2(s) ds. \quad (10)$$

In Sec. 5, we shall use Eq. (10) to estimate the pulse energy attainable by the three undulator concepts for attosecond light.

Note that Eq. (10) applies to a short bunch, with thickness far less than the radiation wavelength  $\lambda$ . To treat a long bunch, we can divide the bunch into thin slices, so that the field emitted by each slice is given by Eqs. (7) and (9). The total field is then the superposition of the fields emitted by all slices. Similarly, one can account for energy spread.

## 5. Novel concepts

The standard FEL process (described in Sec. 3) is not favourable for producing attosecond light pulses, because of the *slippage effect*. As the electron beam propagates through an undulator and emits electromagnetic radiation, the radiation “slips” ahead of the electron beam by one wavelength per undulator period. The radiated wavefronts move ahead of the electron bunch because (i) a photon travels faster than an electron and (ii) the electron bunch takes a longer sinusoidal path than the optical pulse in the undulator [see Fig. 3(a)].

As the electrons radiate continuously and the radiated wavefronts move ahead of the electrons, the optical pulse is lengthened. At the exit of an undulator with  $N_u$  periods the optical pulse contains  $N_u$  oscillation cycles [see Fig. 4]. This effect is an obstacle to attaining attosecond pulse duration in existing FEL facilities. The standard FEL process by its nature produces radiation pulses, whose minimum duration is limited to the *cooperation time* (interchangeably referred to as the *cooperation length*).

The slippage allows the electrons in different parts of the beam to cooperatively interact via the common radiation field, resulting in the collective emission of coherent radiation with an exponential growth in radiation intensity. The cooperation length is the distance by which a radiation wavefront slips over the electrons while the radiation power is being amplified by a factor of  $e \approx 2.718$ . If the electron bunch is made shorter than the cooperation length or has a similar short region which is pre-prepared to lase preferentially, then the electromagnetic wave simply slips out ahead of the electrons before it can be fully amplified [102]. The minimum duration of such an amplified radiation pulse is thus fundamentally limited to the cooperation time – typically 200–300 attoseconds in the x-ray region.

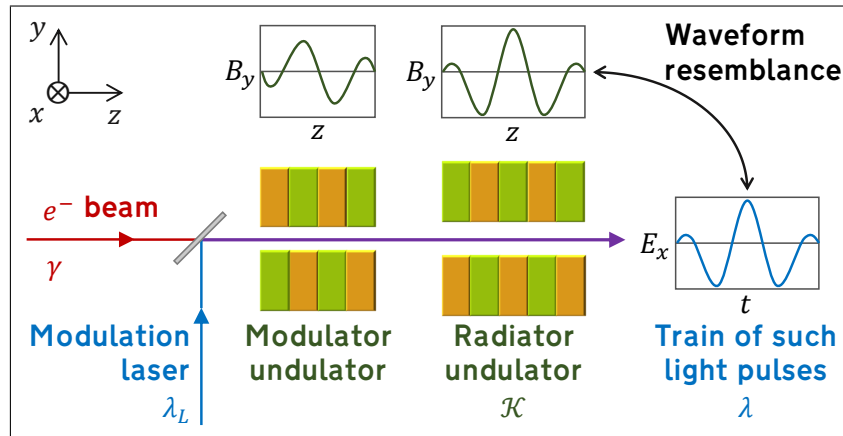
The new fundamental physics behind the proposed concepts allows the breaking of this limit via optical slippage control in three different ways: (i) maximize emission with slippage limited to only one cycle, Sec. 5.1; (ii) taper the slippage to allow for constructive interference into an isolated single-cycle pulse, Sec. 5.2; (iii) discretize the slippage to support multiple few-cycle pulses, Sec. 5.3. These concepts apply optical methods to generate few- and single-cycle radiation pulses [33, 103, 101, 34, 35, 36, 47, 32], rather than e.g. limiting the size of the source electron bunch. In this way, the cooperation length plays no significant role in limiting pulse durations. This fundamental difference in approach potentially allows the generation of x-ray pulses up to three orders of magnitude shorter, i.e. of 300-zeptosecond duration, than methods using e.g. electron bunch shortening.

### 5.1. Waveform control by compact undulators

The concept proposed by Tibai et al. [33] is illustrated schematically in Fig. 5, and is elaborated analytically in Ref. [101]. It enables the production of single-cycle light pulses with a *controlled waveform*, and hence a *stable* carrier-envelope phase (CEP).

The scheme in Fig. 5 resembles that of high-gain harmonic generation (HGHG) [104], in the sense that both consist of two undulators: a *modulator* and a *radiator*. However, the two schemes differ in the principle of emission. The HGHG radiator contains multiple undulator periods, and the light emitted by an electron microbunch causes feedback onto the electron beam. After one undulator period, the emitted light slips ahead of the source microbunch by a distance  $\lambda$ . If at this position there is another microbunch and they are still within the undulator, the feedback loop takes place.

In contrast, the scheme in Fig. 5 has a short radiator, and there is no such feedback

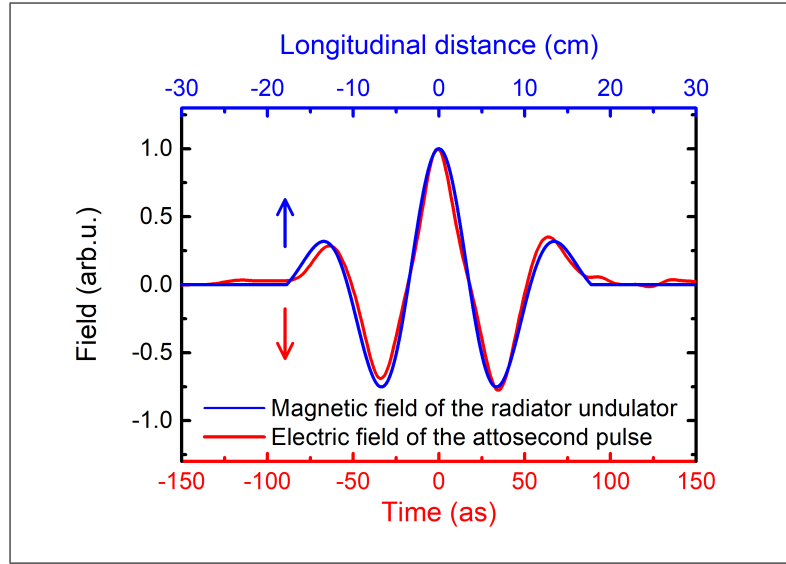


**Figure 5.** The scheme proposed by Tibai et al. [33] utilizes two compact undulators: a *modulator* and a *radiator*. In the modulator, an electron beam co-propagates with an external laser beam of wavelength  $\lambda_L$ , thus creating an energy modulation of period  $\lambda_L$  along the electron beam. In the subsequent drift section, the energy modulation turns into a density modulation, and electron microbunches of thickness far less than  $\lambda_L$  are formed at intervals of  $\lambda_L$ . In the radiator, each microbunch emits a single-cycle light pulse, resulting in a train of single-cycle pulses at wavelength  $\lambda$ . As shown analytically in Ref. [101], the electric waveform  $E_x$  of each light pulse reproduces the magnetic waveform  $B_y$  of the radiator, and the former can be controlled directly by tailoring the latter. This direct control leads to CEP stability.

mechanism. The essence of the scheme is the *coherent* undulator radiation by an electron microbunch, discussed in Secs. 3 and 4. While HGHG relies on *inter*-microbunch phase correlation, this scheme relies on *intra*-microbunch phase correlation. To this end, the thickness of the microbunch must be far less than the wavelength  $\lambda$  given by the radiator resonance condition [Eq. (2)].

Let us consider the scheme step by step. A relativistic electron beam is sent through the modulator, wherein it co-propagates with a modulation laser beam. This process imparts a periodic energy modulation to the electrons. Downstream in the drift space, the energy modulation is converted into a density modulation, thus forming microbunches of high peak electric current. For negligible Coulomb repulsion, the thickness of the microbunch is proportional to the modulation period divided by the ratio of the energy modulation  $\Delta\gamma$  to the beam energy spread  $\sigma_\gamma$  [105, 106], *i.e.*  $\lambda_L/(\Delta\gamma/\sigma_\gamma)$ . The numerical simulations [33] with the beam parameters of the FLASH facility [16] show the feasibility of forming microbunches shorter than 10 nm even with strong Coulomb repulsion. A 10-TW, 800-nm modulation laser imparts a strong energy modulation ( $\Delta\gamma/\sigma_\gamma \approx 200$ ), which overcomes the Coulomb repulsion and results in up to a 100-fold increase in the peak current. The bunch length turns out to be twice of the theoretical value for negligible Coulomb repulsion.

After the drift section, the microbunched electron beam emits coherent light in the radiator, which has a *tailored* magnetic field pattern (see Fig. 6). The temporal waveform of the emitted pulse  $E_x(s)$  resembles the spatial pattern of the magnetic field



**Figure 6.** Simulation results showing the magnetic field of the radiator (blue) and the electric field of the emitted attosecond pulse (red). Reprinted figure with permission from [33] © 2014 by the American Physical Society.

$B_y(s)$  in the radiator. Here,  $s$  stands both for the coordinate along the radiation pulse and coordinate along the undulator field. In particular, for  $\mathcal{K} < 1$ , the emitted field  $E_x(s)$  is directly proportional to  $B_y(s/\gamma^2)$ , which is the derivative of the undulator vector potential [see Eq. (9)]. For GeV electron beams and few-centimetre-long undulators, this implies the generation of attosecond light pulses. Thus, manipulating the magnetic field pattern of the radiator allows full control over the number of cycles (hence the duration) and the precise waveform (hence the CEP) of the light pulse [107].

For the radiator in Fig. 5, the normalized vector potential can be written as

$$A_x = \frac{z}{\sigma_u} \exp\left(-\frac{z^2}{2\sigma_u^2}\right) \quad (11)$$

for some characteristic length  $\sigma_u$ . The emitted pulse energy (10) then reduces to

$$\mathcal{E}_r \approx \frac{\pi Q_b^2 \sigma_u}{S_b} \frac{\sqrt{\pi} \mathcal{K}^2 / 2}{1 + \sqrt{\pi} \mathcal{K}^2 / 2}. \quad (12)$$

The maximum  $\mathcal{E}_r$  is obtained when  $\mathcal{K} \gg 1$ . However, the field profile  $E_x(t)$  becomes strongly distorted when  $\mathcal{K} > 2$ . A good compromise is to have  $\mathcal{K} \in (1, 2)$ , such that  $\mathcal{E}_r \approx 2\sigma_u Q_b^2 / S_b$ . For a long Gaussian bunch of duration  $\sigma_T$  and for  $\mathcal{K} < 1/2$ , the expression for the total emitted energy is similar to Eq. (12), but is modified by a factor of  $(1 + \sigma_T^2 / \sigma_t^2)^{-3/2}$ . Here,  $\sigma_t = (\lambda_u / 2c\gamma^2)(1 + \mathcal{K}^2 \overline{A_x^2})$  is the characteristic period of the waveform emitted by a thin slice [see Eqs. (7) and (9)].

Following the parameters of the simulation example in Ref. [33], undulator length scale  $\sigma_u$  is 14 cm (one half of the period), microbunch charge  $Q_b$  is 1.2 pC, transverse size  $\sigma_b$  is 70  $\mu\text{m}$  and undulator parameter  $\mathcal{K}$  is 0.5. The modulation laser operates at power 10 TW and wavelength 800 nm. As a result, quasi-half-cycle light pulses are generated

at the central wavelength of  $\lambda = 20$  nm, with a pulse duration of 80 attoseconds and pulse energy of 27 nJ.

Besides the pulse energy, the stability of the waveform is crucial for attosecond field-driven experiments. For attosecond light pulses with the parameters discussed above, the standard deviation of the CEP is 31 mrad in the case of a perfectly CEP-stable modulating laser, and 300 mrad in the case of a modulating laser with a random CEP [108].

If a multicycle modulation laser is used, the electron beam consists of microbunches separated by the wavelength of the modulation laser. Hence, a *train* of attosecond pulses is generated. An approach to produce *isolated* attosecond pulses is to use two modulation lasers with significantly different wavelengths [106]. Another approach is to shorten the number of cycles of the modulation laser to only two or less [108].

## 5.2. Chirped microbunching in tapered undulator

To enhance the intensity of single-cycle light pulses from undulators, a second concept was proposed by Tanaka [34]. The concept exploits the slippage effect to its advantage, and is shown schematically in Fig. 7. A key element of the scheme is *chirped microbunching*, whereby the electron microbunches are spaced at varying intervals, instead of being equidistant to each other as in the standard FEL process. These microbunches pass through a *tapered* undulator, whose magnetic field amplitude (and hence  $\mathcal{K}$ ) varies slowly along the longitudinal axis.

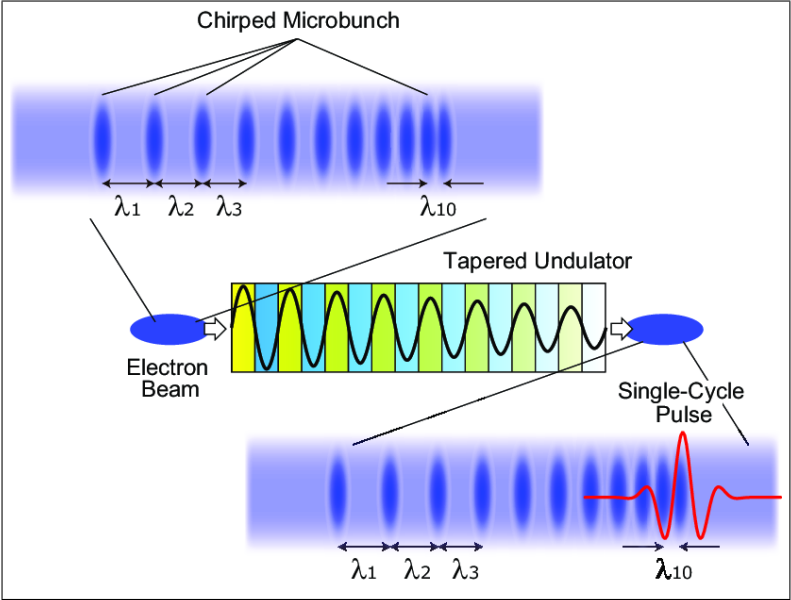
There are three conditions to be satisfied. First, the number of microbunches should be similar to that of the undulator periods. Second, the  $n$ th spacing between the microbunches,  $\lambda_n$ , should equal the slippage length at the  $n$ th period of the tapered undulator. Third, the variation of  $\lambda_n$  should be large and rapid enough. Once these conditions are satisfied, intense single-cycle light pulses can be generated.

The principle of the scheme is illustrated in Fig. 8. We consider a total of 10 microbunches, with a current profile as shown in panel (a). Here,  $s$  denotes the longitudinal coordinate, along which the microbunches are spaced at decreasing intervals  $\lambda_1, \lambda_2, \dots, \lambda_{10}$ . These microbunches are made to travel through a 10-period tapered undulator, which satisfies the three aforementioned conditions.

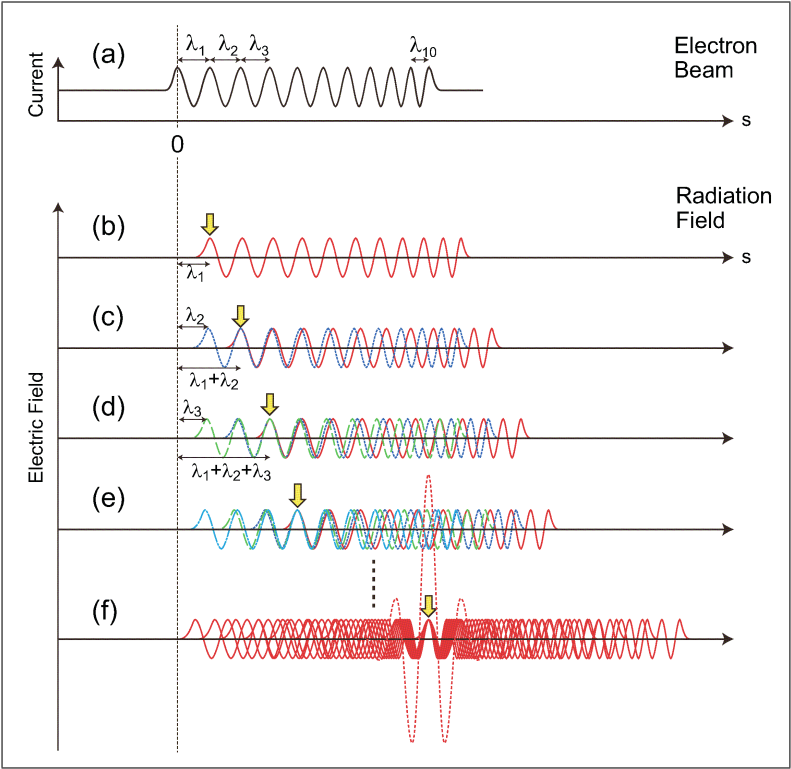
Figure 8(b) shows the temporal profile of the emitted electric field immediately after the 1st undulator period. The waveform comprises 10 optical cycles, and reflects the current profile of the microbunches. However, the entire waveform is shifted forward in  $s$  by a distance of  $\lambda_1$ , due to the slippage. We refer to the optical cycle located at  $s = \lambda_1$  (indicated by the yellow arrow) as the *resonant pulse*.

Figure 8(c) shows the electric field profile immediately after the 2nd undulator period. The blue dotted line represents the waveform emitted *within* the 2nd undulator period. The red solid line represents the previously generated waveform, which is shifted forward in  $s$  due to the slippage. As the slippage length at the 2nd undulator period is  $\lambda_2$ , the resonant pulse is now located at  $s = \lambda_1 + \lambda_2$  instead of  $s = 2\lambda_1$ . Considering the





**Figure 7.** The Tanaka scheme [34] utilizes chirped microbunching, whereby the electron microbunches are spaced at varying intervals. An intense single-cycle light pulse is generated as the microbunches pass through a properly tapered undulator.



**Figure 8.** Mechanism to generate a single-cycle light pulse by means of chirped microbunching in a tapered undulator. The figure adopted with permission from [34]  
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superposition of the two waveforms, it is apparent that the interference is *constructive* at the position of the resonant pulse (indicated by the yellow arrow), but is not necessarily so at other positions. The same argument applies to the 3rd (d) and 4th (e) undulator periods, where the waveforms generated at respective periods are indicated by the green and cyan lines, respectively.

The red solid lines in Fig. 8(f) show the waveforms after the 10th undulator period. The interference at the resonant pulse is totally constructive, while those at other positions are rather destructive. Summing up all the waveforms, an intense single-cycle pulse is generated at the resonant pulse, as indicated by the red dashed line. Since the resultant electric field strength is proportional to the number of microbunches  $N_b$ , the emitted energy scales as  $N_b^2$ . Typically,  $N_b \gg 1$ . Using Eq. (10), the *maximum* emitted energy is estimated to be

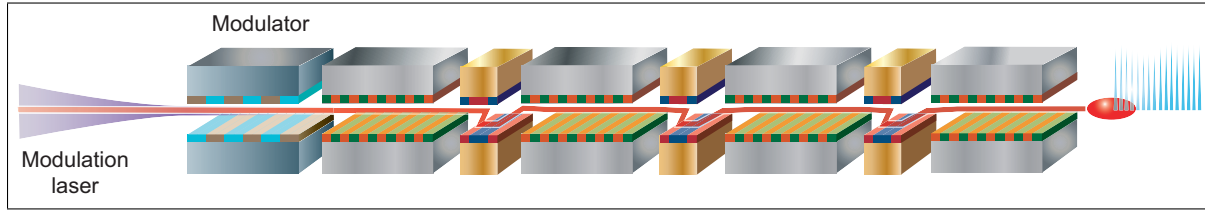
$$\mathcal{E}_r \approx \frac{N_b^2 Q_b^2 \lambda_u}{S_b}. \quad (13)$$

To account for the effect of a finite electron bunch duration, for a long undulator Eq. (13) has to be multiplied by the so-called bunch form-factor  $F^2 = \exp(-2\pi^2 \sigma_T^2 / \sigma_t^2)$ .

The illustration in Fig. 8 makes use of waveforms of constant amplitudes, which is, however, not the case for a tapered undulator. Recall that the emitted field amplitude is proportional to  $\mathcal{K}$  [see Eq. (9)]. Fortunately, the diffraction effect can help equalize the amplitude along the pulse. From Eq. (9), it follows that  $E \propto z_R(s) \mathcal{K}(s) \propto \mathcal{K}(s) / \lambda(s)$ . Then, for a positive undulator taper  $\mathcal{K}'(s) > 0$ , an increase in  $\mathcal{K}$  can partly be compensated for by a decrease in the Rayleigh length  $z_R$  [36].

Despite the simplicity in the operation principle, the implementation of the scheme is not altogether straightforward. In practice, the original scheme in Ref. [34] requires many hardware components, such as two modulators and three magnetic chicanes. Furthermore, the performance of the scheme is extremely sensitive to the energy spread of the electron beam. This sensitivity comes from the difficulty to prepare the chirped sinusoidal microbunched beam. This cannot be directly done only by the single-cycle seed laser, no matter how strong its peak power is. Thus, an intermediate step is required to generate a chirped radiation pulse for modulation.

To overcome such difficulty, a more efficient scheme has been proposed in Ref. [35], in which the microbunch train consists of narrow peaks with *varying* separations around  $\lambda_L$ . Such a train can be directly generated by an intense single-cycle seed laser, and so the accelerator layout can be significantly simplified. More importantly, the requirement on the energy spread of the electron beam is greatly relaxed, because the intermediate step is no longer necessary. In addition, the radiation spectrum can be much broader than what is available in the original scheme, thus opening up the possibility to reach a much shorter pulse duration.



**Figure 9.** Schematic diagram of a mode-locked FEL system showing an electron beam energy modulator and a series of undulator-chicane modules. Reprinted figure with permission from [47] © 2008 by the American Physical Society.

### 5.3. Mode-locked free-electron laser

In conventional cavity lasers [109] the boundary conditions imposed by the cavity and the repetitive interference of the light within the cavity ensure that only those radiation wavelengths which are an integer fraction of the cavity length, i.e. the *modes*, can survive many round trips within the cavity.

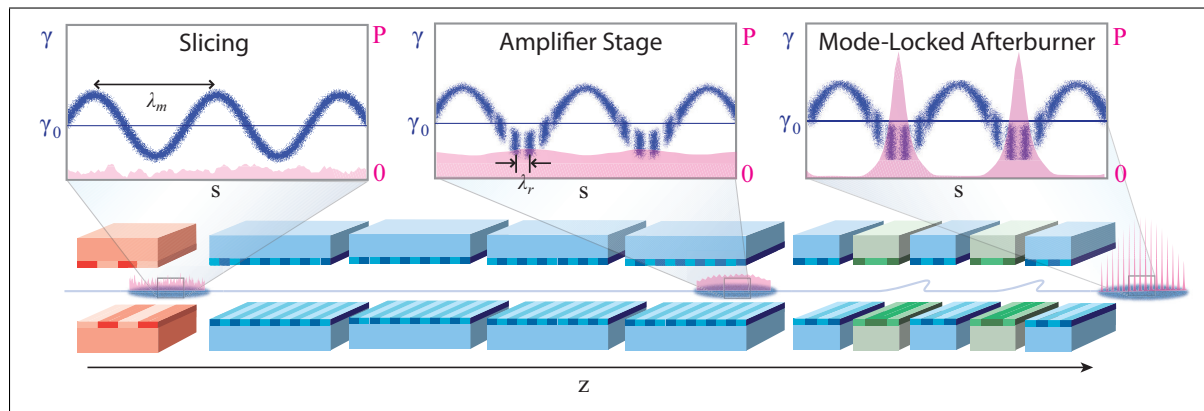
In a ring cavity of length  $l_{cav}$ , the mode spacing in the frequency space is  $\Delta\omega_{cav} = 2\pi c/l_{cav}$ . If the amplification of a mode is modulated, then it develops sidebands at the modulation frequency. When this modulation frequency equals the mode spacing, the sidebands overlap with adjacent modes, thus coupling and locking their relative modal phases. In the temporal domain, such a *mode-locked* frequency spectrum corresponds to a train of well-defined short pulses with pulse-to-pulse separation of  $l_{cav}/c$ .

Thompson and McNeil [47] proposed to apply the same principle of mode locking to an FEL *amplifier*. By introducing magnetic chicanes between the undulator modules, as shown in Fig. 9, a periodic delay can be imposed on the electron bunch so that the wavefronts emitted from each undulator module are delayed and overlapped, enabling interference to occur.

This enhanced, periodic slippage process of a light waveform relative to the electron beam of total distance  $s$  in each undulator-chicane module, is analogous to that which would occur in a conventional ring cavity of length  $s$ , allowing modes to develop with a mode spacing frequency of  $\Delta\omega = 2\pi c/s$ . Furthermore, if, as in the conventional cavity laser, the FEL amplification process is modulated at the mode spacing, e.g. by modulating the electron beam energy [47] or current [110], the modes may lock and generate a train of short pulses. With LCLS-like parameters and a radiation wavelength of  $1.5 \text{ \AA}$ , an rms pulse duration of  $\sim 18$  attoseconds (corresponding to  $\sim 50$  optical cycles) is predicted.

The method of Ref. [47] has been adapted in Ref. [32] to reduce the rms pulse duration down to  $\sim 700$  zeptoseconds, corresponding to only a few oscillation cycles, and to allow an easier implementation of the method on existing FELs. A schematic of the method is shown in Fig. 10.

First, the electron beam properties are modulated to impose longitudinally varying beam quality for FEL lasing. One method is to modulate the electron beam energy, using an external laser and a short modulator undulator (alternatives e.g. current

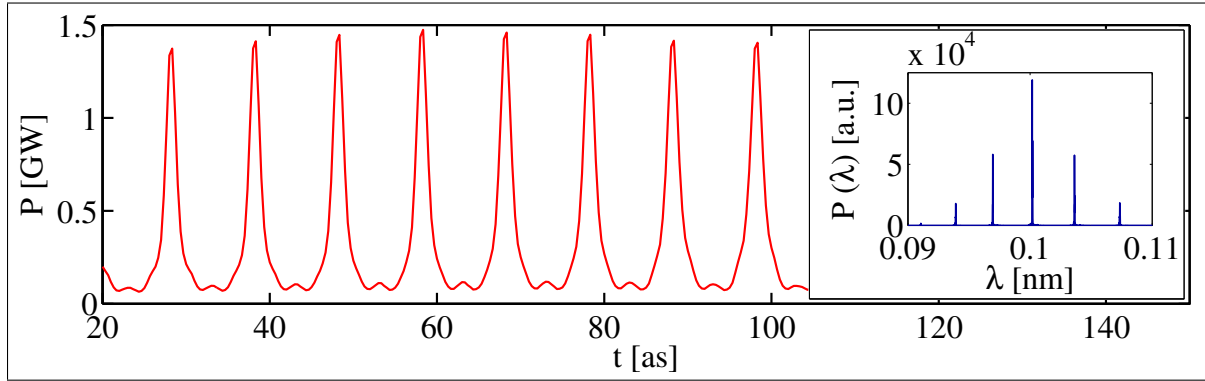


**Figure 10.** Schematic diagram of the mode-locked afterburner FEL concept. The electron beam is sliced (e.g. using an external laser and a short modulator to apply an energy modulation around the central Lorentz factor,  $\gamma_0$ ). During normal exponential FEL amplification (amplifier stage), a longitudinal comb structure develops in the FEL microbunching (e.g. peaked at the minima of the electron beam energy) but not in the radiation intensity ( $P$ ). Further amplification of the radiation with periodic electron delays (mode-locked afterburner stage) generates a train of few-cycle radiation pulses. Reprinted figure with permission from [32] © 2013 by the American Physical Society.

modulation may also be used). The modulation period is chosen to be  $\sim 1$ -2 orders of magnitude greater than the resonant wavelength of the subsequent amplifier stage, giving multiple modulation periods per cooperation length. Given sufficient amplitude, the effect of such modulation is to separate the beam into regions of low/high energy gradient, corresponding to high/low quality for FEL amplification.

The modulated beam is injected into a conventional FEL amplifier, in which emitted radiation continuously slips forward relative to the electrons, i.e. no periodic delays. During this stage the slippage smooths any significant modulation from developing in the radiation, even while large longitudinal variation in microbunching develops due to varying electron beam quality. The case using energy modulation is nuanced by the fact that two regions of low energy gradient occur per modulation period, i.e. the energy maxima and minima (alternative modulation methods are simpler in this respect). The energy minima is favoured due to FEL gain asymmetry [32] and preferential direction of energy curvature about the extrema [111]. The increased FEL interaction around the beam energy minima therefore generates a handful of microbunches per modulation period.

Shortly before FEL saturation occurs, both the electron beam and the radiation are injected into the mode-locked *afterburner*. This uses the same principle as the original mode-locking method of Ref. [47] as described above, of using a series of undulator-chicane modules to amplify and lock a series of modes. The short undulator modules and chicanes are tuned to ensure the repeated gain and the shifting of the radiation pulses along the beam to the next region of strong microbunching. The number of the microbunches in each handful  $N_b$  is approximately adjusted to the number of periods in the afterburner  $N_u$ , e.g.  $N_b \approx N_u = N$ . Then, using Eq. (10), the maximum emitted



**Figure 11.** Three-dimensional simulations of mode-locked afterburner using SACLA-like parameters. The main panel shows the pulse train in the temporal domain and the inset shows the modal structure in the wavelength domain. The individual pulses have an rms duration of  $\sim 700$  zeptoseconds each, and are separated by  $\sim 10$  attoseconds. Reprinted figure with permission from [32] © 2013 by the American Physical Society.

energy in one pulse can be estimated as

$$\mathcal{E}_r \approx \frac{N^3 Q_b^2 \lambda_u}{S_b}. \quad (14)$$

The number of periods  $N_u$  is kept small (around 5) to obtain sub-attosecond pulse duration. Meanwhile, the enhancement is strong enough to deliver a pulse energy at the nJ scale.

An example of a mode-locked afterburner simulation output using parameters taken from Ref. [32] and similar to those of the SACLA FEL [21] is shown in Fig. 11. A pulse train structure of approximately 700 zeptosecond RMS duration radiation pulses separated by 10 attoseconds and of 1.5 GW peak power is generated. The multichromatic spectrum has a bandwidth envelope a factor  $\sim 100$  times that of the normal SASE output.

The mode-locked afterburner is potentially a relatively simple upgrade to existing x-ray FEL facilities and offers a flexible route towards the generation of few-cycle x-ray pulse trains with GW peak powers.

#### 5.4. Features and potential of the concepts

We compare the three novel concepts by numerical simulations, using a set of electron parameters for the Soft X-Ray Laser (SXL) facility [23] at MAX IV (see Table 1). The parameters for the undulators and the modulation laser are tuned to deliver soft x-ray pulses with a duration of 50–100 attoseconds. Characteristics of the resulting pulse for each scheme are listed in Table 2.

In Table 1,  $\gamma m_e c^2$  is the beam energy,  $\sigma_\gamma/\gamma$  the relative energy spread,  $\gamma \epsilon_{x,y}$  the normalized transverse emittance,  $\sigma_{x,y}$  the rms beam radius,  $\sigma_t$  the rms bunch duration,  $I_{\text{peak}}$  the peak current,  $\lambda_L$  the central wavelength and  $\mathcal{E}_r$  is the laser pulse energy. Also,  $N_{\text{module}}$  is the number of undulator modules, and  $L_{\text{module}}$  the length of each module.

**Table 1. Input Parameters for the Numerical Simulations**

Parameter	Compact undulators (see Sec. 5.1)	Chirped bunching (see Sec. 5.2)	Mode-locked FEL (see Sec. 5.3)
Electron Beam Injected			
$\gamma m_e c^2$ [GeV]	1.5	1.5	1.5
$\sigma_\gamma/\gamma$	$5 \times 10^{-4}$	$5 \times 10^{-4}$	$5 \times 10^{-4}$
$\gamma \epsilon_{x,y}$ [ $\mu\text{m}$ ]	0.5	0.5	0.5
$\sigma_{x,y}$ [ $\mu\text{m}$ ]	30	16	30
$\sigma_t$ [fs]	100	100	100
$I_{\text{peak}}$ [kA]	3	3	3
Modulation Laser			
$\lambda_L$ [nm]	516	800	100
$\epsilon_r$ [mJ]	85	5	0.01–0.1
First Undulator Section (Modulator)			
$N_{\text{module}}$	1	1	1
$L_{\text{module}}$ [m]	0.66	3	1.28
$\lambda_u$ [mm]	660	100	128
$\mathcal{K}$	5	14.0–17.4	5
Second Undulator Section (Radiator or Amplifier)			
$N_{\text{module}}$	1	1	6
$L_{\text{module}}$ [m]	0.49	3	2.25
$\lambda_u$ [mm]	196	100	22.5
$\mathcal{K}$	0.8	14.0–17.4	1.5
Third Undulator Section (Afterburner)			
$N_{\text{module}}$	N/A	N/A	20
$L_{\text{module}}$ [m]	N/A	N/A	0.18
$\lambda_u$ [mm]	N/A	N/A	22.5
$\mathcal{K}$	N/A	N/A	1.5

For concept 5.1, the undulator period  $\lambda_u$  should be understood as the characteristic length  $\sigma_u$  defined in Eq. (11).

In Table 2,  $N_b$  is the number of microbunches, while  $Q_b$  and  $\sigma_t$  are the charge and duration of each microbunch. Also,  $N_{\text{cycle}}$  is the number of optical cycles,  $\lambda$  the characteristic wavelength (i.e. that with the highest spectral intensity), and  $\Delta t$  the pulse duration (FWHM). For all the three concepts, the emitted pulse energy  $\epsilon_r$  from the analytical calculation is in reasonable agreement with that from the numerical simulation, and can be seen as a conservative estimate.

The scheme in Sec. 5.1 is simple, robust and capable of delivering both pulse trains and isolated pulses. Importantly, it also features direct pulse shape control and CEP stability by means of tailoring the magnetic field profile.

**Table 2. Output Parameters for the Numerical Simulations**

Parameter	Compact undulators (see Sec. 5.1)	Chirped bunching (see Sec. 5.2)	Mode-locked FEL (see Sec. 5.3)
Electron Microbunch Developed			
$N_b$	1	30	$10 \times 20$
$Q_b$ [pC]	1.3	3	0.03
$\sigma_t$ [as]	8	63	4.5
$I_{\text{peak}}$ [kA]	57	20	6
Optical Pulse Emitted			
$N_{\text{cycle}}$	1	1	5
$\lambda$ [nm]	17	50	2.78
$\sigma_\lambda/\lambda$	0.2	0.5	0.17
$\Delta t$ [as]	55	100	50
$\varepsilon_r$ [nJ]	120	4700	375
Comparison with Analytical Estimate			
Formula	Eq. (12)	Eq. (13)	Eq. (14)
$\varepsilon_r$ [nJ]	100	3600	250

The approach described in Sec. 5.2 is an endeavour to deliver the highest energy in an ultrabroadband pulse. Still, the undulator taper can be properly optimized for CEP stability. A related work presented in Ref. [36] analytically derives the condition for optimal undulator tapering and microbunch chirping. With it, a quasi-half-cycle light pulse can be generated.

For the proposal in Sec. 5.3, the selected electron beam parameters are rather conservative. This scheme reaches its best performance in the hard x-ray regime, with an unprecedented sub-attosecond pulse duration. For existing x-ray FELs, it is an attractive operation mode that can be pursued with a relatively simple upgrade. Further increase in pulse energy can be achieved by using *isochronous* chicanes [112], which better preserve the electron microbunches developed during the amplifier stage.

## 6. Summary and outlook

In this article, we have reviewed and compared three novel concepts for generating attosecond x-ray pulses. All these concepts utilize the core element of the FEL principle (Sec. 3), namely, the coherent radiation of microbunched electrons in undulators. Meanwhile, each concept is characterized by a unique feature: waveform control in compact undulators (Sec. 5.1), chirped microbunching (Sec. 5.2) and FEL mode locking (Sec. 5.3). In particular, waveform control also provides the basis for *CEP stability*.

These concepts are an important breakthrough for two main reasons. First, they have shown the potential to overcome the limitations of HHG, thus yielding a shorter

pulse duration and higher pulse energy. Taking advantage of the FEL principle, they enable an unprecedented *brilliance* amongst laboratory x-ray sources. Second, they shift the paradigm that FEL pulses must contain at least tens or hundreds of optical cycles, and they pave the way to obtaining *single-cycle* FEL pulses. This reduces the pulse duration from the *femtosecond* regime to the *attosecond* regime, making the FEL an ever more favourable tool for *attosecond science* (Sec. 2).

While each concept has its unique merits, it is natural to consider combining the strengths of the different concepts, to further enhance the performance of the attosecond x-ray sources. This is a subject of further study beyond this review article.

Another aspect of further development is the incorporation of *orbital angular momentum* (OAM) into the attosecond light pulses produced in undulators. Light carrying OAM (also called topological charge or vortex) has a spatial structure associated with a helically shaped wavefront [113] and provides an additional degree of freedom invaluable in the experiments. Possible applications of such light beams range from fundamental science [114, 115, 116, 117] to nearly industrial concepts, for instance, in telecommunications [118, 119]. Decreasing the pulse length down to several cycles would allow scientists to investigate ultrafast processes in combination with the unique properties of topological charge.

One more important point is the advancement in development of compact free-electron lasers based on plasma wake-field acceleration [120, 121, 122]. With the single-cycle concepts, such light sources can become an ultimate scientific tool with a size of a typical laboratory room. However, it remains challenging to optimize the parameters for its efficient operation, most importantly, the energy spread of the electron beam. Still, it is an important direction of future research.

The production of single-cycle light in undulators is a vibrant research area. To facilitate international and interdisciplinary collaboration in this area, the LUSIA consortium [123] was formed in 2017. The acronym LUSIA stands for **A**ttosecond **S**ingle-cycle **U**ndulator **L**ight. The consortium comprises the pioneers of this research area in 6 countries (as of 2018): Sweden, Germany, Hungary, Japan, Ukraine and the United Kingdom. The ultimate objective is to deliver intense attosecond single-cycle CEP-stable x-ray pulses to the users of FEL beamlines. The new techniques developed by the consortium can potentially be applied to the design of the Soft X-Ray Laser (SXL) facility [23] at the Swedish national accelerator laboratory MAX IV.

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